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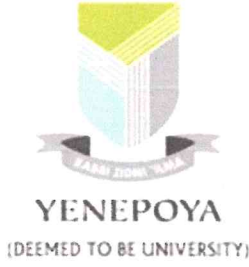
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MEMORANDUM OF ASSOCIATION

Yenepoya (Deemed to be University)

Deralakatte, Mangalore

Kesomayen

Registrar
YENEPOYA
(Deemed to be University)

2023-24
ಶಾಸ್ತ್ರಂ ಜ್ಞಾನಂ ಶಿಕ್ಷಾ
ದಸ್ತಾವೇಜು ಹಾಳೆ
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ಇವರ ಮೊಹರು



ಕರ್ನಾಟಕ ಸರ್ಕಾರ

ನೋಂದಣಿ ಹಾಗೂ ಮುದ್ರಾಂಕ ಇಲಾಖೆ

Department of Stamps and Registration

ಪ್ರಮಾಣ ಪತ್ರ

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Yenepoya (Deemed to be University) is Rep. by Mr .Dr.K.S Gangadhara Somayaji ಇವರು
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ಪ್ರಕಾರ	ಮೊತ್ತ (ರೂ.)	ಹಣದ ಪಾವತಿಯ ವಿವರ
E-Payment	1,000.00	Online Challan Reference Number RG1223000004947323 Dated:07/12/2023
Total:	1,000.00	

ಸ್ಥಳ : ಮಂಗಳೂರು ತಾಲೂಕು

ದಿನಾಂಕ: 08/12/2023

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BRI 335

K. Somanayak




Registrar
YENEPOYA
(Deemed to be University)

ದಸ್ತಾವೇಜು ಸಂಖ್ಯೆ :- MGT-4-00335-2023-24

ಮಂಗಳೂರು ತಾಲೂಕು ಉಪ ನೋಂದಣಿ ಕಚೇರಿಯಲ್ಲಿ ದಿನಾಂಕ 08/12/2023 ರಂದು 10:45:24 ಗಂಟೆಗೆ ಈ ಕೆಳಗೆ ವಿವರಿಸಿದ ಶುಲ್ಕದೊಂದಿಗೆ

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Yenepoya (Deemed to be University) is Rep. by Mr .Dr.K.S Gangadhara Somayaji ಇವರಿಂದ ಹಾಜರು ಮಾಡಲ್ಪಟ್ಟಿದೆ.

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1	Yenepoya (Deemed to be University) is Rep. by Mr .Dr.K.S Gangadhara Somayaji , 54, Resident of: , Campus, University Road, Deralakatte, , Mangaluru, DAKSHINA KANNADA, KARNATAKA - 575018 (Presenter)		 Left Thumb	 Registrar YENEPOYA (Deemed to be University)


ಉಪನೋಂದಣಾಧಿಕಾರಿ
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[UGC (INSTITUTIONS DEEMED TO BE UNIVERSITIES)
REGULATIONS, 2023]

MEMORANDUM OF ASSOCIATION / RULES OF
YENEPOYA (DEEMED TO BE UNIVERSITY) AS PER UGC
REGULATIONS 2023 (Declared as a Deemed to be University under Section
3 of UGC Act, 1956) MHRD GOI No. 9-11/2007-U.3 (A) Dated
27th February, 2008
DERALAKATTE, MANGALORE, KARNATAKA, INDIA

This Memorandum of Association (MOA) is executed on 4th December 2023 at Mangaluru, by,

DR.K.S GANGADHARA SOMAYAJI, S/O Shankara Somayaji K P, aged 54 years, residing at #8-14-1098/19, Flat No.301, Matrix West Wings, Kambla Cross Road, Alake, Kodial Bail, Mangalore, Dakshina Kannada , Karnataka-575003, (AADHAAR NO: 884355785801, Mobile No: 9480346406), in his capacity as Registrar of Yenepoya (Deemed to be University), having office at Yenepoya (Deemed to be University), Campus, University Road, Deralakatte, Mangalore-575018, Karnataka State, India, witnesseth as follows.

Whereas Yenepoya (Deemed to be University) is a University duly declared and notified by the Ministry of Human Resource and Development (MHRD) (Department of Higher Eductaion) vide notification No.F.9-11/2007-U.3 (A) dated 27th February 2008 under Section 3(A) of the University Grants Commission (UGC) Act 1956.



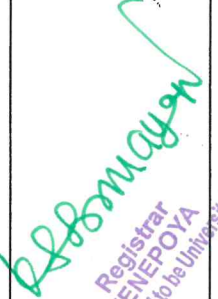
Whereas as required under the University Grants Commission (Institutions deemed to be Universities) Regulations 2023, this MOA is executed amending and replacing the MOA dated 13th February 2007 bearing registered document No. MGT-4-00113-2006-07 registered in the office of the Sub-Registrar, Mangalore Taluk. This Memorandum of Association shall replace the previous of Memorandum of Association dated 13th February 2007.

K.S. Somayaji

Registrar
YENEPLOYA
(Deemed to be University)


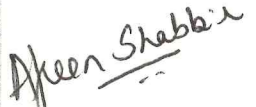


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H.P. Somayaji
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1	<p>Yenepoya (Deemed to be University) is Rep. by Mr .Dr.K.S Gangadhara Somayaji , , 54, Resident of: , Campus, University Road, Deralakatte, , Mangaluru, DAKSHINA KANNADA, KARNATAKA - 575018 (Executant)</p>		 Left Thumb	 Registrar YENEPOYA (Deemed to be University)
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ಉಪನಿರ್ದೇಶಕರು
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ಗುರುತಿಸುವವರು

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2	ಡಾ.ಅಫೀನ್ ಶಬ್ಬೀರ್ D/o ಶಬ್ಬೀರ್ ಅಹಮ್ಮದ್ ಉದ್ಯಾವರ (Identifier)	ಮೇಲಿನ ಮೊಗರು, ಅತ್ತಾವರ, ಮಂಗಳೂರು, Mangaluru, DAKSHINA KANNADA, KARNATAKA - 575002	


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Memorandum of Association

1. **YENEPOYA (Deemed to be University)** hereinafter referred to as the Institution Deemed to be University.

Office of the Trust and Deemed to be University

The Registered office of the Institution Deemed to be University and the Sponsoring Trust shall be situated at **Yenepoya (Deemed to be University), Campus, University Road, Deralakatte, Mangalore- 575018, Karnataka State, India.**

2. **Name of the Institution Deemed to be University along with its approved constituent units / Off-campuses / Off-shore Campuses**

Yenepoya (Deemed to be University) with its constituent colleges/units namely, Yenepoya Dental College, Yenepoya Medical College, Yenepoya Nursing College and Yenepoya Physiotherapy College, Yenepoya Institute of Arts, Science, Commerce and Management, Yenepoya Pharmacy College and Research Center, Yenepoya Ayurveda Medical College and Yenepoya Homeopathy Medical College and Hospital, Yenepoya Naturopathy and Yogic Sciences College And Hospital, Yenepoya School of Allied Health Sciences.

3. **Approved constituent units/ Off campuses/ Off shore campuses with UGC letter/ MHRD Notification and dates**

MHRD No.F.9-11/2007-U.3 (A) dated 27th February 2008- approval accorded for Yenepoya (Deemed to be University) comprising Yenepoya Dental College.

MHRD No.F. 10-14/2008-U.3(A) dated 12th January 2009- approval accorded for inclusion of Yenepoya Medical College, Yenepoya Nursing College and Yenepoya Physiotherapy College under Yenepoya (Deemed to be University)

UGC No.F.1-3/2018 (CPP-I/DU) dated June 2019 – approval accorded for Faculty of Pharmacy (Yenepoya Pharmacy College and Research Center), Faculty of Ayurveda (Yenepoya Ayurveda Medical College and Hospital) and Faculty of Homeopathy (Yenepoya Homeopathy Medical College and Hospital) and Yenepoya Institute of Arts, Science, Commerce and Management.



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Registrar
YENEPOYA
(Deemed to be University)



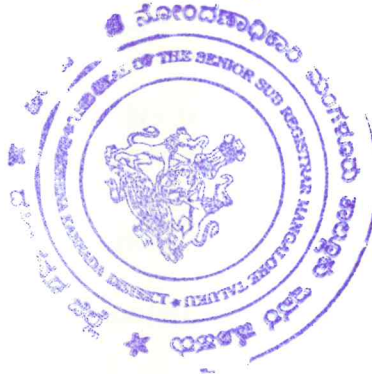
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Memorandum of Association

Govt. of Karnataka No:Ayush/37/Subject (2)/2020-21 - approval accorded for Yenepoya Naturopathy and Yogic Sciences And Hospital

UGC No.F.1-3/2018 (CPP-I/DU) dated June 2021 and No.Y/REG/PA/BOM-57/Notification/001/2022 - approval accorded for Yenepoya School of Allied Health Sciences

4. Definitions

In these Regulations, unless the context otherwise requires:

- (1) "Academic Council" means the academic council of the institution deemed to be University;
- (2) "Act" means the University Grants Commission Act, 1956 (3 of 1956);
- (3) "affiliated college" means a college recognised by, associated with and admitted to the privileges of an University;
- (4) "Board of Studies" means the Board of Studies of a Department of the institution deemed to be University;
- (5) "campus" means campus (single or multiple locations within the city) of the institution deemed to be University wherein its facilities, faculty, staff, students, and Academic Departments are situated;
- (6) "centre" means a centre of studies of the institution deemed to be University;
- (7) "Chancellor", "Vice-Chancellor", and "Pro-Vice-Chancellor" means the Chancellor, Vice-Chancellor and Pro-Vice-Chancellor of the institution deemed to be University, respectively;
- (8) "college" means any institution whether affiliated college or constituent college, known as such or by any other name and provides undergraduate or postgraduate or Ph.D. programmes or all together, for obtaining any qualification from a University, in accordance with the rules and regulations of such University, which has been recognised as competent by the University Grants Commission to provide such programmes or courses of study;



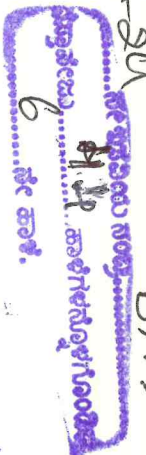
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Registrar
YENEPOYA
(Deemed to be University)

Memorandum of Association

- (9) "constituent college" means a college operating under the administrative, academic, and financial control of the sponsoring body;
- (10) "constituent unit" means an academic unit (Schools or centres or departments) of the institution existing on the date of submission of a proposal to declare an institution to be an institution deemed to be University;
- (11) "Department" means a department of studies of the institution deemed to be University;
- (12) "distinct category" means an existing institution or an institution starting from the beginning with the focus on teaching or research in the unique disciplines or addressing the strategic needs of the country or engaged in the preservation of Indian cultural heritage or preservation of the environment or dedicated to skill development or dedicated to sports or languages or any other discipline, as so determined by the Expert Committee approved by the Chairman of the Commission;
- (13) "Executive Council" means the executive council of the institution deemed to be University;
- (14) "Expert Committee" means a committee consisting of academics and other experts from other fields and includes representatives of the statutory bodies and approved by the Chairman of the Commission;
- (15) "Government" means the Department in the Ministry of Education in the Central Government dealing with the higher education;
- (16) "institution" means an institution of higher education engaged in teaching and research at the undergraduate, post-graduate or higher level;
- (17) "institution deemed to be University" means an institution of higher education so declared by the Central Government by notification under section 3 of the Act, on the advice of the Commission;
- (18) "main campus" means the campus of the institution deemed to be University, where its major facilities, faculty, staff, students, academic departments and administration are situated;



K. Somanah
Registrar
YENEPOYA
(Deemed to be University)

Memorandum of Association

- (19) "NAAC" means the National Assessment and Accreditation Council;
- (20) "NBA" means the National Board of Accreditation;
- (21) "necessary infrastructure" means the infrastructure required under the norms of the concerned statutory body or the Commission, as the case may be;
- (22) "NEP" means the National Education Policy, 2020;
- (23) "NIRF" means the National Institutional Ranking Framework;
- (24) "notification" means a notification issued by the Central Government in the Official Gazette declaring an institution of higher education, as an institution deemed to be University under section 3 of the Act;
- (25) "off-campus" means the premises of the institution deemed to be University, approved by the Government, other than the main campus or the campuses;
- (26) "off-shore campus" means the premises of the institution deemed to be University, approved by the Government, outside India, other than the main campus in India;
- (27) "processing fee" means the fee to be paid by the applicant institution to the Commission along with the application for processing such application;
- (28) "school" or "faculty" means a school or faculty of studies of the institution deemed to be University;
- (29) "sponsoring body" means a body being a charitable or a not-for-profit society or trust or a company under section 8 of the Companies Act, 2013 (18 of 2013), submitting an application for declaring an institution under its administrative, academic and financial control as an institution deemed to be University;
- (30) "Standing Committee" means a committee consisting of academics and other experts from other fields and includes representatives of the statutory bodies and approved by the Chairman of the Commission;
- (31) "statutory body" means a body constituted under any law for the time being in force for determining or maintaining standards of quality in the relevant areas of higher education, including the All India Council for Technical Education (AICTE), the Bar Council of India (BCI), the Dental Council of India (DCI), the Indian Nursing Council

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K. Somayaj
Registrar
YENEPOYA
(Deemed to be University)

Memorandum of Association

(INC), the National Council for Teacher Education (NCTE) and the National Medical Commission (NMC), or any other statutory body established under an Act of Parliament;

(32) "teacher" means professors, associate professors, assistant professors and such other academic staff as may be appointed for imparting instructions or conducting research in the institution deemed to be University including the adjunct faculty or professor of practice or visiting faculty; and

(33) the words and expressions used in these regulations and not defined, but defined in the Act shall have the same meanings respectively assigned to them.

5. Objectives

The objectives for which an institution is declared by the Government as an Institution Deemed to be University shall be:

- (a) provide for higher education leading to excellence and innovations in such branches of knowledge as may be deemed fit, primarily at undergraduate, post-graduate, and research degree levels, fully conforming to the concept of a University;
- (b) engage in inter-disciplinary or multi-disciplinary or trans-disciplinary teaching and research in addition to domain-specific specialisation;
- (c) provide for high-quality teaching and research recognised nationally and globally;
- (d) recognise, identify and foster the unique capabilities of each student, by sensitising teachers as well as parents to promote each student's holistic development;
- (e) provide multi-disciplinary and a holistic education in the faculties of science, engineering, technology, social sciences, arts, humanities, sports and other disciplines;
- (f) transform into research and teaching intensive University over a period of time;
- (g) focus on research and innovation by setting up start-up incubation centers; technology development centres; centres in frontier areas of research; greater industry-academic

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YENEPLOYA
UNIVERSITY
(Deemed to be University)

B. Somayaj
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linkages; and inter-disciplinary research including humanities and social sciences research;

- (h) provide flexible and innovative curriculum, which includes credit-based courses and projects in the areas of community engagement and service, environmental education, value-based education, etc.;
- (i) contribute for social transformation through socially responsive teaching, learning, research, and fieldwork;
- (j) adopt the provisions of NEP, 2020; and
- (k) strengthen the research ecosystem by establishing Research and Development Cell (RDC).

6. Powers and Functions

To carry out the above objectives and for the management of the properties, the Deemed to be University shall have the following powers:

- i. To establish courses of study and research and to provide instruction in such branches of study as Institution Deemed to be University deems appropriate for the advancement of learning and dissemination of knowledge in such branches.
- ii. To confer degrees and to grant Diplomas and/or Certificates to persons who have satisfactorily completed the approved courses of study and/or research as may be prescribed and shall have passed the prescribed examinations.
- iii. To award visitor ship, fellowship, exhibits, prizes and medals.
- iv. To provide for instruction, teaching and training in such branches of learning and courses of study as Deemed to be University may, from time to time, determine.
- v. To make provision to enable constituent colleges to undertake specialized studies.
- vi. To organize and manage departments, laboratories, libraries, museums and equipment for the purpose of teaching, learning and research.
- vii. To establish, maintain and manage institutions of research, and specialized

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Registrars

Registrar
YENEPOYA
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Memorandum of Association

Study centers.

- viii. To establish, maintain and manage constituent colleges, institutions, hospital, schools, centers, hostels, clinics, units, divisions, sections, health centers, auditoria, and gymnasia.
- ix. To provide for establishment of off-campus and off-shore campuses for serving a group of constituent colleges, and also to provide for and maintain common resource centers in such campuses in the form of libraries, laboratories, equipment, computer centers, hostels and such facilities for campuses to create centers of excellence with the prior approval of Statutory Council(s) and UGC/MHRD.
- x. To create posts of Principals, Professors, Associate professors, Readers, Assistant professors, Lecturers and other teaching or non-vacation academic posts required by the Institution Deemed to be University with the prior approval of the sponsoring Body and to prescribe their qualifications and make appointments thereto;
- xi. To appoint or recognize persons working in any other university, industry or organization as adjunct Professors, adjunct Associate professors, adjunct Readers, adjunct Assistant professors, adjunct Lecturers and visiting Professors of Institution Deemed to be University for specified periods.
- xii. To create non-teaching, skilled, administrative, ministerial and other posts and prescribe their qualifications, pay-scales and service rules with prior approval of the sponsoring Body and to make appointments thereto;
- xiii. To hold examinations and confer degrees and post-graduate diplomas and award post-higher secondary diplomas and certificates and other academic distinctions on persons who-
 - (a) Unless exempted there from in the manner prescribed, have pursued approved courses of study in Deemed to be University and have passed the examinations prescribed by Deemed to be University.
 - (b) Have pursued approved courses of study in Deemed to be University and have passed the examinations prescribed by Deemed to be University; or
 - (c) Have engaged in research under conditions provided by Bye-Laws.

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(Deemed to be University)

Memorandum of Association

- xiv. To confer Honorary Degrees or other academic distinctions as prescribed by the Bye-Laws;
- xv. To evaluate and assess the academic performance of constituent colleges and their periodical accreditation with the help of accreditation agencies like NBA, NAAC, ISO etc.
- xvi. To hold and to manage trusts and endowments and institute and award fellowships, Traveling fellowships, scholarships, studentships, medals and prizes for teachers and students of the Deemed to be University.
- xvii. To fix, demand and receive or recover such fees and other charges as may be regulated by the Bye-Laws from time to time;
- xviii. To supervise, control and regulate the conduct and discipline of the students of Deemed to be University and hostels;
- xix. To provide for mobility of students from formal to non-formal stream and vice-versa;
- xx. To make arrangements for promoting welfare of the employees of The Deemed to be University;
- xxi. To co-ordinate and regulate teaching and research in the constituent colleges;
- xxii. To provide for the training and quality improvement of teachers and non-teaching employees;
- xxiii. To provide for periodical assessment of the performance of teachers and non-teaching employees of Deemed to be University in accordance with the provisions of the Bye-Laws.
- xxiv. To regulate and provide for attendance of the teachers on the premises of Deemed to be University during teaching hours and beyond teaching hours, as prescribed and to prohibit teachers from taking or conducting private tuition or private coaching classes;
- xxv. To provide for conduct and discipline rules for teaching and non-teaching staff and the enforcement thereof

K. Somayajhi

Registrar
YENEPOYA
(Deemed to be University)

2023-24

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Memorandum of Association

- xxvi. To maintain records, documents and returns of the Deemed to be University;
- xxvii. To establish, maintain and manage, whenever necessary-
- a) a printing and publication department;
 - b) extension boards of Deemed to be University;
 - c) information bureaus;
 - d) employment guidance bureaus; and
 - e) such other activities as may be necessary and possible to fulfill the objects of the Deemed to be University;
- xxviii. To make provision for participation of students in –
- a. The National Service Scheme;
 - b. The National Cadet Corps;
 - c. Home Guards and Civil Defense;
 - d. The National Sports Organizations
 - e. Youth Red Cross;
 - f. Extra-Mural Teaching Learning and Research;
 - g. Centre for Distance Education;
 - h. Programmes related to adults and continuing education, and extension;
 - i. Any other programmes, services or activities directed towards cultural, economic and social betterment as may be necessary and possible to fulfill the objectives of the Deemed to be University.
- xxix. To provide for special training or coaching for competitive examinations, for recruitment to the public services, public undertakings and other competitive employment opportunities;
- xxx. To co-operate or collaborate with any other University, Institution, Authority or Organization within and outside India for research and advisory services and for such purposes to enter into appropriate arrangement with other Universities, Institutions, Authorities, or Organizations to conduct certain courses as the situation may demand with the prior approval of concerned Statutory Council(s) and UGC/MHRD.
- xxxi. To organize training programmes for the faculty and supporting staff.



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Registrar

Registrar
YENEPOYA
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- xxxii. To borrow funds for the purposes of Deemed to be University on the security of the property of the University, with the prior permission of the sponsoring Body;
- xxxiii. To explore the possibilities of augmenting the resources of Deemed to be University by exploring or innovating activities such as research and development, consultancy, training programmes and providing services for different clients from industry, trade or any other non-government organizations;
- xxxiv. To undertake academic collaboration and twinning programmes with universities and institutions abroad, with the approval of the Central Government wherever necessary.
- xxxv. To receive funds for collaboration programmes from foreign agencies, subject to rules and regulations of the Central Government wherever necessary.
- xxxvi. To lay down service conditions for teachers including code of conduct, workload, norms of performance appraisal, and such other instructions or directions as, in the opinion of the university, as may be necessary in academic matters.
- xxxvii. To undertake development programmes in higher education, research, consultancy based projects and training programmes for outside agencies, by charging fees, so as to generate resources;
- xxxviii. To make special provisions for benefit of Deemed to be University, education to be made available to classes and communities which are socially and educationally backward.
- xxxix. To make special provision for such benefits of Deemed to be University, education to be made available for women students and handicapped students as Deemed to be University may think necessary.
- xxxx. To make special provision for higher education in rural and tribal areas;
- xxxxi. To implement the national literacy and adult education programme through teachers and students on voluntary basis in Deemed to be University system and to evolve measures to give due weight age to the efforts and performance of the students in this area in addition to their normal academic performance, and also to evaluate the performance of the teachers in this area.
- xxxxii. To perform such other functions as deemed fit by the Deemed to be University.

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YENEPOYA
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7.0 Corpus fund

A corpus fund has been created and maintained permanently in the name of the Yenepoya (Deemed to be University) as required under the regulations of UGC.

The interest accrued on the Corpus Fund shall be used only for the purpose of development of the Institution Deemed to be University.

Institutions deemed to be Universities may invest the corpus fund in any suitable scheme or schemes

8.0 A. Off- Campus centers : (1) (i) Institutions deemed to be Universities with minimum 'A' grade and above or ranked from 1 to 100 in the "Universities" category of NIRF rankings of the relevant year are eligible to set up off-campus centres.

(ii) Institutions declared as deemed to be Universities under "distinct category" may apply for off campuses after five years of their declaration, if they fulfill the criteria specified in clause (i).

(2) Institutions deemed to be Universities with minimum 'A' grade and above or ranked from 1 to 100 in the "Universities" category of the NIRF rankings of the relevant year may submit their application to start off-campus 3centres through the Commission's portal along with the following documents, namely:—

(i) from the relevant statutory body (wherever applicable) for approval to set up off-campus centres or, for issuance of Letter of Intent for setting up off-campus centres, as the case may be.

(3) By following the procedure specified in these regulations for setting up the off-campus centres, an institution deemed to be University may also apply on the Commission's portal for establishing an off-campus centre by taking over any affiliated college under the same sponsoring society or trust or company by progressively closing the affiliated college to convert it into an off- campus centre and a no objection certificate from the affiliating University shall accompany the application and the affiliating University may decide on granting no objection certificate to the institution deemed to be University within a maximum period of sixty days from the date of receipt of the request, failing which it shall be presumed that the affiliating University has no objection to the application by the institution deemed to be University for establishing an off-campus centre and in such cases, the acknowledgement for receipt of the request shall be submitted as a document.

(4) The infrastructure at the proposed off-campus centres shall be in accordance with the norms and standards specified by the relevant statutory body or the Commission, as the case may be, and the off-campus centre shall be started with a minimum of five undergraduate or post- graduate or research or combination thereof with a minimum of one thousand students, of which

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not less than one-fifth of the students are post-graduate or research students with fifty teachers and with required infrastructure facilities.

(5) The Chairman of the Commission may constitute a Standing Committee to undertake an examination of the information furnished by the institution deemed to be University and the Chairman, on behalf of the Commission, shall forward the advice to the Government within sixty days from the date of receipt of the application, by taking into the consideration, the observations made by the said Standing Committee.

(6) The Government shall, after taking into consideration the advice of the Commission may within a period of thirty days from the date of receipt of such advice, either issue a notification for starting an off-campus centres or, as the case may be, a Letter of Intent for setting up of new off-campus centres or reject the proposal by recording the reasons for doing so and the decision of the Central Government shall be final.

(7) On the basis of the advice of the Expert Committee, the Commission shall render its advice to the Government to consider the approval of any such off-campus which was operating before the commencement of these regulations on a case-to-case basis, and to validate the degrees of each programme for the passed-out students, if they fulfill the basic eligibility conditions required for starting the off-campus centre.

(8) If at any time, the information provided by the applicant institution deemed to be University is found to be incorrect, the Government may withdraw the approval granted for starting the off-campus centre; and in order to protect the interests of students enrolled at such centre, the institution deemed to be University shall take necessary action for shifting of such students to the main campus.

(9) Institution deemed to be University may start new courses or programmes in any field in their existing campus and approved off-campus centres, with the prior approval of its Executive Council and, also wherever applicable, with the approval of the relevant statutory bodies:

Provided that where the institution deemed to be University is in receipt of grant-in-aid or other funds for maintenance from the Central Government or the State Government or its Agencies, as the case may be, prior approval of the appropriate Government shall also be required.

8.0 B. and Off-shore campuses: (1) Institutions deemed to be Universities may start or establish off-shore campuses with the prior approval of the Central Government in accordance with the provisions of the Act, rules and regulations made thereunder.

(2) Existing off-shore campuses shall continue to operate, provided they have obtained proper permissions and approval.

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9.0 Monitoring and performance of Deemed to be University

9.01 It shall be the responsibility of the Commission to monitor, annually, the performance and academic outcomes of all institutions Deemed to be universities, based on public disclosures on performance parameters, namely:

- a) The graduate outcomes shall be such that a minimum of 50% of the graduating students secure access to employment/self-employment or engage themselves in pursuit of higher education;
- b) Promote linkage of the students with the society/industry such that at least two-third of the students engage in socially productive activities during their period of study in the institutions;
- c) Train the students in essential professional skills such as team-work, communication skills, leadership skills, time-management skills, soft skills, etc; and inculcate a spirit of innovation/entrepreneurship and critical thinking among the students and promote avenues for display of these talents;
- d) The teacher student ratio shall not be less than 1:20 with a faculty strength of not less than 100 (Hundred) teachers and a minimum of 2,000 students on its rolls under the regular class-room mode, and ensure that not more than 10% of the sanctioned posts of teachers is vacant at any point of time;
- e) Conduct induction programmes for students
- f) Adopt Learning-outcome based curriculum framework(LOCF) and revise curriculum at regular intervals
- g) Use Information Communication Technology (ICT) based learning tools for effective teaching learning processes;
- h) Choice Based Credit System (CBCS), Academic flexibility for interdisciplinary learning
- i) Examination Reforms to ensure that the student assessment is based on understanding of the concepts, and application thereof;



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- j) Ensure that at least half of the students passing out appear for examinations such as GATE/JAM/UGC-NET, and at least half of those appearing qualify;
- k) Tracking of the student progress after completion of course;
- l) Ensure that all new teachers, immediately after recruitment, are put through an induction course imparting pedagogical aspects;
- m) All existing teachers shall be placed through an annual refresher training;
- n) Compulsory participation in the NIRF ranking;
- o) National level merit-based admission process.
- p) Effective implementation of measures for quality improvement as per the quality mandate of UGC.
- q) To ensure not to start or run any professional courses governed by specific Acts of parliament, except with the prior and specific approval of the authority constituted there under as well as in conformity with the Clause 8 of these Regulations.
- r) To submit the type of courses those are being offered latest by 30th April every year. The details to be provided are – year, department, courses offered, whether the course is professional or general, approval of the statutory council, approval of the UGC, student intake, actual number of students enrolled, etc
- s) To adhere to these regulations and other rules / regulations/ directions issued by the UGC and relevant statutory bodies from time to time.
- t) Every year on completion of the admissions, the Deemed to be University shall upload all the data on its website.

9.02 The Commission shall decide on the physical verification of an Institution Deemed to be University, or constitute teams for such verification only on receipt of

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complaints against the accuracy and veracity of the information placed on the website of the institution in respect of the parameters referred to in clause 10.01

10.0 System of Institutional Governance

- 10.01 The proposed/existing Institution Deemed to be University shall be registered as a not-for-profit Society under the Societies Registration Act, 1860 or as a not-for-profit Trust under the Indian Trusts Act, 1882 or as a not-for-profit company under section 8 of the Companies Act, 2013.

Provided that a sponsoring body exclusively established for running educational institutions shall be exempted from registering as a separate not-for-profit Society /Trust /Company for the Deemed to be University. However, the sponsoring body shall categorically mention in its Registration Deed that the sponsoring body is exclusively for running educational activities and no other activities are being carried out or shall be carried out in future under it.

Provided further that if the sponsoring body of an existing Institution Deemed to be University does not have a Society /Trust /Company exclusively for running educational institutions, it shall form a new not for profit Society / Trust /Company for the Deemed to be University. In such cases, the sponsoring body shall be exempted from transferring of its moveable and immoveable assets to the newly created Society/Trust/Company, if such sponsoring body provides Notarized Affidavit to the effect that the entire moveable and immoveable assets allocated to the Institution Deemed to be University shall not be leased or otherwise disposed off without the prior permission of the Commission.

Provided also that the sponsoring body shall make available the infrastructure for operating the Institution Deemed to be University without any rental or other such charges.

- 10.02 All moveable and immoveable assets of the institutions shall be used only for the purpose of conducting academic activities, promotion of research and related administrative requirements of the Institution Deemed to be University, including the outreach educational activities.
- 10.03 The highest governing body of the Institution Deemed to be University shall be a Executive council to be headed by the Vice Chancellor, and consisting of not less than 10 and not more than 13 members.
- 10.04 The Executive council shall be the principal executive body of the institution deemed to be University.

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Registrar
YENEPOYA
(Deemed to be University)

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11. Composition of the Executive council

The composition of Executive council shall be as under: -

- I. Vice-Chancellor..Chairperson
- II. Pro Vice-Chancellor (wherever applicable)
- III. Two Deans of Faculties of the Institution Deemed to be University, to be appointed by rotation based on inter-se seniority
- IV. One Professor, who is not a Dean, by rotation, to be appointed by the Vice-Chancellor;
- V. One Associate Professor, by rotation, to be appointed by the Vice-Chancellor;
- VI. One Assistant Professor, by rotation, to be appointed by the Vice-Chancellor;
- VII. in the case of institution deemed to be University that is controlled or managed or receiving funds more than or equal to fifty per cent. of their annual receipts from the Central or State Government, the respective Government shall nominate an officer, not below the rank of Joint Secretary to the Government of India or his or her representative and in respect of all other institutions deemed to be Universities, the Commission shall nominate a representative;
- VIII. up to four nominees of the Sponsoring body; and
- IX. The Registrar, who shall be ex officio Secretary of the Executive council.

12. Tenure of the members of the Executive council

All the members of the Executive council, other than the Vice-Chancellor and Pro Vice Chancellor, shall hold office for a term of three years and in the case of Deans, the term shall be three years or until they hold the office of Dean, whichever is earlier.

Members of the Executive Council appointed by the Vice-Chancellor from Professor, Associate Professor and Assistant Professor category shall hold office for a period of one year or till such time they cease to be teachers of the University, whichever is earlier.

13. Powers and Limitations of the Executive council

The Executive Council shall have the power of management and administration of the institution deemed to be University.


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The Executive council shall be the final decision making body of the Institute in respect of every matter of the Institution Deemed to be University, including in the academic, administrative, personnel, financial, developmental matters.

Subject to the provisions of these regulations and the rules of the institution deemed to be University, the Executive Council shall, in addition to all other powers vested in it, have the following powers, namely:—

- (a) to appoint such Professors, Associate Professors, Assistant Professors and other academic staff, including Chairs, as may be necessary, on the recommendation of the Selection Committee constituted for the said purpose and to fill up temporary vacancies therein;
- (b) to regulate and enforce discipline amongst the employees of the institution in accordance with the rules of the institution deemed to be University;
- (c) to provide for the appointment of Visiting Professors, Emeritus Professors, Professor of Practice, Consultants, Scholars, etc., and determine the terms and conditions of such appointments;
- (d) to exercise such other powers and perform such other duties as may be conferred or imposed on it by the rules and regulations of the institution deemed to be University;
- (e) to make rules and regulations for the institution deemed to be University; and
- (f) if the institution is controlled or managed or receiving funds more than or equal to fifty percent. Of their annual receipt from the Central or State Government, the creation of posts shall be done by the Executive Council with prior approval of the respective Government from which grants are being released.

14. Meetings of the Executive council

The Executive Council shall meet at least four times a year (minimum two in one semester), with not less than seven days' prior notice given before every meeting of the Executive Council and emergency meetings may be convened at a shorter notice, for reasons to be recorded in writing for such emergency meeting.

One-third of the total number of members of the Executive Council shall form the quorum for the meeting.

In the absence of the Vice-Chancellor, the Pro-Vice Chancellor shall preside over the meeting; and where there be no Pro Vice Chancellor in an Institution Deemed to be University, a member chosen by the other members present, shall preside over the meeting.

Every member of the Executive council, including its Chairperson, shall have one vote and decisions at the meetings of the Board shall be taken by simple majority; and in case a tie, the Chairperson shall have a casting vote.

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Any business, which may be urgent in nature for the Executive council to perform, may be carried out by circulation amongst its members.

A copy of the proceedings of each meeting shall be furnished to the Chancellor of the Institution Deemed to be University as soon as maybe possible after the convening of the meeting

15. Termination of Membership of the Executive Council

If a member other than the Vice- Chancellor, and those representing the teachers, accepts a fulltime appointment in the Institution Deemed to be University or does not attend three consecutive meetings of the Executive council, without proper leave of absence, such member shall cease to be a member of the Executive council.

16. Delegation of powers of the Executive council

The Executive council may, by a resolution, delegate to the Vice Chancellor or any other officer/faculty or to a Committee of officers/faculties of the Institution Deemed to be University, such powers as it may deem fit, subject to the condition that the action taken by the Vice Chancellor or the officer/faculty, or the Committee in the exercise of the powers so delegated, shall be reported at the next meeting of the Executive council.

17. Composition of Academic Council

A. The Academic Council shall be the principal academic body of the institution deemed to be University and shall, subject to the provision of the rules of the institution deemed to be University, co-ordinate and exercise general supervision over the academic policy of the institution deemed to be University.

The composition of the Academic Council shall be as under:

1. Vice Chancellor , Chairperson
2. Pro Vice-Chancellor (wherever applicable)
3. Dean(s) of Faculties

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YENEPOYA
(Deemed to be University)

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4. Heads of the Departments or Centres

5. up to ten Professors (excluding those who are Deans of schools and heads of departments or centres) by rotation to be nominated by the Vice-Chancellor giving due regard to the representation of different schools or departments or centres;

6. up to five Associate Professors from departments or centres other than the heads of the departments or centres, by rotation, to be appointed by the Vice-Chancellor;

7. up to five Assistant Professors from the departments or centres other than the heads of the departments or centres, by rotation, to be appointed by the Vice-Chancellor;

8. six persons of repute from amongst the educationists or experts for their specialised knowledge, who are not in the service of the institution deemed to be University, nominated by the Vice-Chancellor; and

9. the Registrar, who shall be the *ex-officio* Secretary of the Academic Council.

The representation of different categories shall be through rotation and not through an election and the term of members, other than the *ex-officio* members, shall be three years and the Controller of Examination shall be the permanent invitee to the meetings of the Academic Council.

18. Powers and functions of the academic council

I. To consider matters of general academic interest either on its own initiative or at the instance of the Executive council or those proposed by the departments/ faculties and to take appropriate action thereon

II. to exercise general supervision over the academic policies of the institution deemed to be University and to give directions regarding methods of instruction, co-ordination of teaching among departments or faculties or schools or centers, evaluation of research and improvement of academic standards;

III. to bring about and promote inter-departmental, inter-faculty, inter-school, inter-center co- ordination and to establish or appoint such committees or boards, as may be deemed necessary for the purpose;

IV. To prescribe courses /programmes of study leading to degree and diploma of the Institution Deemed to be University

V. To make arrangements for the conduct of examinations in conformity with the rules and Bye-Laws of the institution deemed to be University



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(Deemed to be University)

- VI. To maintain proper standards of the examinations
- VII. To recognize diplomas and degrees of universities and other Institutions and to determine equivalence with the diplomas and degrees of the institutions Deemed to be University
- VIII. to institute Fellowships, Scholarships, Medals, Prizes, etc.;
- IX. To frame rules covering the academic functioning of the institution Deemed to be University, admissions, examinations, award of fellowships and studentships, free-ships, concessions, attendance, discipline, residence etc.
- X. To take periodical review of the activities of the departments/centres and to take appropriate action with a view to maintaining and improving standards of instruction
- XI. To recommend institution of teaching posts (Professors, Associate Professors and Assistant Professors) to the Executive council
- XII. to make recommendations to the Executive Council for the establishment or abolition of departments or centres or schools or faculties, etc.
- XIII. To make recommendations to the Executive council :
- IX. To exercise such other powers and to perform such other duties, as may be conferred or imposed upon it by the rules of the institution deemed to be university

19. Meeting of the Academic council

- I. The Academic Council shall meet as often as may be necessary but not less than four (atleast two times in a semester) times during an academic year with not less than seven days' notice being given before every meeting of the Academic Council and emergency meetings may be convened at a shorter notice, for reasons to be recorded in writing for such emergency meeting.
- II. One third of the total number of members of the Academic Council shall constitute the quorum for the meeting of the Academic Council.
- III. Each member, including its Chairman, shall have one vote and decisions at the meetings of the Academic Council shall be taken by simple majority; and in case of a tie, the Chairman shall have a casting vote.



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(Deemed to be University)

- IV. Any business, which it may be necessary for the Academic Council to perform, except such as may be placed before its meeting, may be carried out by circulation of the resolution amongst its members.

20. Composition of the Finance Committee

(1) The composition of Finance Committee is as under:

- I. Vice Chancellor – Chairperson
- II. Pro Vice-Chancellor (wherever applicable)
- III. One person nominated by the Society/Trust/Company
- IV. Three nominees of the Executive council, one of whom shall be a member of the Board
- V. One representative of Central Government not below the rank of Joint Secretary or his representative to the Government of India, in case the institution is controlled and managed by Central Government or is receiving grants more than or equal to 50% of its expenditure (based on average of previous three year account) from the Central Government directly or through its Agencies; and in all other institutions Deemed to be universities, the Commission shall nominate a representative from a panel of names selected through a process approved by the Commission
- VI. three persons to be nominated by the Chancellor;
- VII. Finance Officer- Secretary ex officio
- VIII. One representative of the State Government, in case the Institution Deemed to be University is receiving grants from the State Government

(2) In case the institution deemed to be University is controlled or managed or funded by the State Government, one representative of such Government.

(3) All members of the Finance Committee other than ex-officio member shall hold office for a term of three years.

(4) The Finance Committee shall meet at least four times in an academic year (at least twice each semester) to examine the accounts and scrutinise the proposals for expenditure and one-

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YENEPOYA
(Deemed to be University)

third of the total number of members of the Finance Committee shall form the quorum for a meeting.

(5) All proposals relating to the creation of posts and those items which have not been included in the Budget, shall be examined by the Finance Committee before the Executive Council considers them and to decide waiver in fees, the establishment of scholarships, freeship and any other financial benefits.

(6) The annual accounts and financial estimates of the institution deemed to be University prepared by the Finance Officer shall be laid before the Finance Committee for consideration and comments and thereafter the same shall be submitted to the Executive Council for approval.

(7) The Finance Committee shall recommend limits for the total recurring and non-recurring expenditures for the year, based on the income and resources of the institution deemed to be University.

21. Board of Studies

(1) There shall be one Board of Studies for each Department of the Institution Deemed to be University. The composition of Board of Studies of each faculty/ Department shall be as under:

- i. Dean of faculty/ Head of the Department Chairperson
- ii. All Professors of the faculty/ Department
- iii. Two Associate Professors of the faculty/ Department by rotation based on inter-se seniority
- iv. Two Assistant Professors of the faculty/Department by rotation based on inter-se seniority
- v. 2 external experts to be co-opted for their specialized knowledge

(2) Subject to the overall control and supervision of the Academic Council, the functions of a Board of Studies shall be to approve subjects for research for various degrees and other requirements of research degrees and to recommend to the concerned School Board in such manner as may be prescribed by the rules of the institution deemed to be University regarding—

- i) courses of studies;
- ii) appointment of supervisors for research; and
- iii) measures for the improvement of the standards of teaching and research.

(3) The powers and functions of the Board of Studies shall be prescribed by the Rules of the

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(Deemed to be University)

Institution Deemed to be University

22. Selection Committee for appointment of teaching staff

There shall be one or more Selection Committees constituted, for making recommendations to the Executive council for appointment to the post of Professors, Associate Professors, Assistant Professors and such other posts as may be prescribed, in accordance with the UGC Regulations (on Minimum Qualifications for Appointment of Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education), 2018, as amended from time to time.

23. Disqualification.—(1) A person shall be disqualified for being chosen as, and for being, a member of any of the authorities, or being appointed as, and for being, an officer, of the institution deemed to be University if—

- (a) if he or she is of unsound mind; or
- (b) if he or she is an un-discharged insolvent; or
- (c) if he or she has been convicted by a court of law for an offense involving moral turpitude and sentenced in respect thereof to imprisonment for not less than six months; or
- (d) if he or she has not been appointed as per the provisions of these regulations.

(2) If any question arises as to whether a person is or had been subjected to any of the disqualifications mentioned above, the question shall be referred to the Chancellor, and his or her decision shall be final and no suit or other proceedings shall lie in any civil court against such decision.

24. Miscellaneous matters pertaining to different authorities of the Institution Deemed to be University

- (1) If any question arises, as to whether any person has been duly nominated or appointed as, or is, entitled to be a member of any authority or any committee of the Institution Deemed to be University, the matter shall be referred to the Chancellor, whose decision thereon shall be final and binding.
- (2) Any member, other than an ex-officio member of any authority, may resign by a letter addressed to the Registrar and the resignation shall take effect as soon as it is accepted by the Chancellor or the Chairperson of the Executive council, as the case may be.

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(Deemed to be University)

- (3) Sudden vacancies among the members of any authority or any Committee of the Institution Deemed to be University shall be filled by the respective authority, as soon as may be, and within a period of six months.

25. Chancellor

- (1) The Yenepoya Deemed to be University shall have a Chancellor who shall, when present, preside over the convocations of the Yenepoya Deemed to be University but shall not be the Chief Executive Officer.
- (2) The Chancellor, who shall be appointed by the sponsoring body, shall hold office for a period of 5 years from the date of first assuming office, and shall be eligible for reappointment for one more term by following the procedure prescribed for the appointment of the Chancellor:

Provided that the Chancellor in the institutions deemed to be Universities managed or controlled or receiving funds more than or equal to fifty per cent. of their annual receipt from the Central or State Government or its Agencies, shall be appointed by the respective Government and for other institutions deemed to be Universities, the Chancellor shall be appointed by the sponsoring body.

- (3) In the case of Government controlled or managed or receiving funds more than or equal to fifty per cent. of their annual receipt, the Chancellor shall not be removed from office except by an order of the appropriate Government.

26. Vice- Chancellor: (1) The Vice-Chancellor shall be a whole-time salaried officer of the institution deemed to be University and shall be appointed by the Chancellor from a panel of three names suggested by a Search-cum-Selection Committee.

(2) The qualifications of the Vice-Chancellor shall be in accordance with the University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and other Measures for the Maintenance of Standards in Higher Education) Regulations, 2018.

(3) The procedure for composition of Search-cum-Selection-Committee (SCSC) for the selection of Vice- Chancellor shall be as under—

(a) the Vice-Chancellor in the institution deemed to be University managed or controlled or receiving funds more than or equal to fifty per cent. of their annual receipt from the Central or



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YENEPOYA
(Deemed to be University)

State Government or its Agencies shall be appointed by Central Government or the State Government, as the case may be.

(b) in case of other institutions deemed to be Universities, the composition of the Search-cum- Selection Committee shall be as under—

- (i) a nominee of the Chancellor, who shall be a reputed academician as the Chairperson of the Committee;
- (ii) a nominee of the Chairman, University Grants Commission; and
- (iii) an academician, with not less than ten years' service as Professor, nominated by the Executive Council.

(4) The Vice-Chancellor shall hold office for a term of five years from the date on which he or she enters upon his or her office or until he or she attains the age of seventy years, whichever is earlier and shall be eligible for re-appointment for one more term by following the procedure prescribed for the appointment of Vice-Chancellor:

Provided that notwithstanding the expiry of the said period of five years, he or she shall continue in office until his or her successor is appointed and enters upon his or her office, however, in no case, the Vice-Chancellor shall hold office beyond the age of seventy years:

Provided further that the Chancellor may direct the Vice-Chancellor, after his or her term has expired, to continue in office for such period, not exceeding a total period of one year.

(5) In the case of Government controlled or managed or receiving funds more than or equal to fifty per cent. of their annual receipt, the respective Government may direct the Vice-Chancellor, after his or her term has expired, to continue in office for such period, not exceeding a total period of one year.

(6) If the office of the Vice-Chancellor becomes vacant due to death, resignation or otherwise, or if he or she is unable to perform his or her duties due to ill health or any other cause, the Pro-Vice- Chancellor shall perform the duties of the Vice-Chancellor:

Provided that if the Pro-Vice-Chancellor is unavailable, the Chancellor shall appoint the senior most Professor who shall perform the duties of the Vice-Chancellor, until a new Vice- Chancellor assumes office or the existing Vice-Chancellor resumes the duties of his or her office, as the case may be.



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Registrar
YENEPOYA
(Deemed to be University)

Provided further that, in the case of Central Government or State Government controlled or managed or receiving funds more than or equal to fifty per cent. of their annual receipt, if the Pro-Vice-Chancellor is unavailable, the Government shall appoint the senior most Professor or any other eminent academician to perform the duties of the Vice-Chancellor initially for a period of six months or until a new Vice Chancellor assumes office or the existing Vice-Chancellor resumes the duties of his or her office, as the case may be.

27. Powers and duties of the Vice-Chancellor

(1)The Vice-Chancellor shall be the Principal Executive Officer and academic officer of the institution deemed to be University and shall exercise general supervision and control over the affairs of the institution deemed to be University and give effect to the decision of all authorities of the institution deemed to be University.

(2) The Vice-Chancellor may, if he or she is of the opinion that immediate action is necessary on any matter, exercise any power conferred on any authority of the institution deemed to be University by or under these regulations and shall report to such authority at its next meeting the action taken by him or her on such matter:

Provided that, if the authority mentioned in this sub-regulation is of the opinion that such action ought not to have been taken, it may refer the matter to the Chancellor whose decision thereon shall be final:

Provided further that any person in the service of the institution deemed to be University who is aggrieved by the action taken by the Vice-Chancellor under this regulation shall have the right to represent against such action to the Executive Council within ninety days from the date on which decision on such action is communicated to him and thereupon the Executive Council may confirm, modify or reverse the action taken by the Vice-Chancellor.

(3) The Vice-Chancellor, if he or she is of the opinion that any decision of any authority of the institution deemed to be University is beyond the power of the authority conferred by the provisions of these regulations or that any decision taken is not in the interest of the institution deemed to be University, may ask the authority concerned to

28. Removal of Vice-Chancellor

(1) Where there are reasons to believe that the Vice-Chancellor of an Institution Deemed to be University does not possess the qualification as required under these Regulations and also under the UGC Regulations on Minimum Qualifications for Appointment of

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(Deemed to be University)

Teachers and Other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018, as amended from time to time or is not appointed as per the procedure stipulated in these Regulations or has committed any financial/administrative impropriety, the Chairman of Commission shall constitute a committee consisting of academic, administrative or financial experts to enquire into the matter.

(2) On the directions from the Commission, the Chancellor shall place the Vice-Chancellor under suspension, pending an enquiry.

(3) The enquiry committee shall give an opportunity of hearing to the Vice-Chancellor before submitting its report to the Commission and where the report of the Enquiry Committee confirms the ineligibility, or procedural violations, or impropriety, as the case may be, the Commission shall direct the Chancellor to remove the Vice Chancellor after following the due process;

Provided that, in respect of Vice Chancellors of institutions Deemed to be universities managed and controlled or receiving funds more than or equal to fifty per cent. of their annual receipt from the Central Government or State Government, the Commission shall convey its advice regarding removal of Vice-Chancellor to the relevant Ministry of the Central Government or the State Government, as the case may be.

(4) Notwithstanding anything contained in these regulations, the Chancellor may, at any time after the Vice-Chancellor has entered upon his or her office, by order in writing, remove the Vice-Chancellor from office on the grounds of incapacity, misconduct or violation of these regulations:

Provided that the Chancellor shall make no such order unless the Vice-Chancellor has been given a reasonable opportunity of showing cause against the action proposed to be taken against him:

Provided further that the Chancellor may, at any time before making such order, place the Vice-Chancellor under suspension, pending an inquiry.

(5) In case of an institution deemed to be University managed or controlled or receiving funds more than or equal to fifty per cent. of its annual receipt from the Central or State Government or its Agencies, the actions referred to in sub-regulations (1) to (4) shall have the approval of the appropriate Government.

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29. Pro Vice-Chancellor

(1)The Pro Vice-Chancellor shall be appointed by the Executive council on the recommendation of the Vice-Chancellor discharge the duties of the Pro-Vice-Chancellor in addition to his or her duties as a Professor:

Provided that in case of Government controlled or managed or receiving funds more than or equal to fifty percent. of their annual receipt, the Vice-Chancellor shall seek approval of the appropriate Government before appointing Pro-Vice-Chancellor:

Provided further that where the recommendation of the Vice-Chancellor is not accepted by the Executive Council, the matter shall be referred to the Chancellor, who may either appoint the Professor recommended by the Vice-Chancellor or ask the Vice-Chancellor to recommend name of another Professor for re-consideration by the Executive Council.

(2) The term of office of the Pro-Vice-Chancellor shall be such as may be decided by the Executive Council, but it shall not, in any case, exceed five years or until the expiration of the term of office of the Vice-Chancellor, whichever is earlier:

Provided that the Pro-Vice-Chancellor whose term of office has expired shall be eligible for re- appointment by following the procedure prescribed for the appointment of Pro-Vice-Chancellor:

Provided further that the Pro-Vice-Chancellor shall, while discharging the duties of the Vice- Chancellor, continue in office, notwithstanding the expiration of his or her term of office as Pro- Vice-Chancellor, until the Vice-Chancellor resumes office or a new Vice-Chancellor assumes office, as the case may be:

Provided also that, in any case, the Pro-Vice-Chancellor shall retire on attaining the age of sixty- five years.

(3) The Pro-Vice-Chancellor shall have the powers and duties as may be prescribed by the rules of the institution deemed to be University.

(4) The Pro-Vice-Chancellor shall assist the Vice-Chancellor in respect of such matters as may be specified by the Vice-Chancellor on this behalf, from time to time, and shall also exercise such powers and perform such duties as may be assigned or delegated to him by the Vice-Chancellor.



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Registrar

YENEPOYA
(Deemed to be University)

30. Registrar: (1) (a) The Registrar shall be a whole-time salaried officer of the institution deemed to be University and shall be appointed by the Executive Council on the recommendations of the selection committee consisting of the following:—

- (i) Vice-Chancellor - Chairperson;
- (ii) one nominee of the Chancellor;
- (iii) two members of the Executive Council nominated by it; and
- (iv) one expert not in the service of the University to be nominated by the Executive Council.

(b) The meeting of the selection committee shall be fixed after prior consultation with, and subject to the convenience of the Chancellor's nominee and the experts nominated by the Executive Council and the proceedings of the selection committee shall not be valid unless at least three of the Chancellor's nominees or persons nominated by the Executive Council attended the meeting.

(2) The Registrar shall hold office for a term of five years from the date of assuming office and shall be eligible for re-appointment for a second term by following the procedure prescribed for Registrar:

Provided that, the Registrar shall retire on attaining the age of sixty-two years and the emoluments and other terms and conditions of service of the Registrar shall be such as may be prescribed by the rules of the institution deemed to be University.

(3) When the office of the Registrar is vacant or when the Registrar is, by reason of illness, absence, or any other cause, unable to perform the duties of his or her office, the duties of the office shall be performed by such person as the Vice-Chancellor may appoint for the purpose.

(4) The Registrar shall be ex-officio Secretary of the Executive Council and the Academic Council, but shall not be deemed to be a member of any of these authorities.

(5) The Registrar shall be directly responsible to the Vice-Chancellor and work under his or her direction.

(6) The Registrar shall have the power to take disciplinary action against employees, excluding teachers and other academic staff, as may be specified in the order of the Executive Council and to suspend them pending inquiry, to administer warnings to them or to impose on them the penalty of censure or the withholding of increment:

Provided that no such penalty shall be imposed unless the person has been given a reasonable opportunity of showing cause against the action proposed to be taken in regard to him or her.



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Registrar
YENEPOYA
(Deemed to be University)

Memorandum of Association

(7) An appeal shall lie to the Vice-Chancellor against any order of the Registrar imposing any of the penalties specified in sub-regulation (6).

(8) In the case where the inquiry discloses that a punishment beyond the power of the Registrar is called for, the Registrar shall, upon the conclusion of the inquiry, make a report to the Vice- Chancellor along with his or her recommendations:

Provided that an appeal shall lie to the Executive Council against an order of the Vice- Chancellor imposing any penalty.

(9) It shall be the duty of the Registrar—

- (a) to be the custodian of the records, the common seal, and such other property of the institution deemed to be University as the Executive Council shall commit to his or her charge;
- (b) to issue all notices convening meetings of the Executive Council, the Academic Council, and any Committees appointed by those authorities;
- (c) to keep the minutes of all the meetings of the Executive Council, the Academic Council, and any Committees appointed by those authorities;
- (d) to conduct the official correspondence between the Executive Council and the Academic Council;
- (e) to supply to the Chancellor copies of the agenda of the meetings of the authorities of the institution deemed to be University as soon as they are issued and the minutes of such meetings;
- (f) to represent the institution deemed to be University in suits or proceedings by or against the University, sign powers of attorney and verify pleadings or depute his or her representative for the purpose;
- (g) to perform such other duties as may be specified in the rules of the institution deemed to be University or as may be required from time to time by the Executive Council or the Vice- Chancellor, as the case may be;
- (h) to enter into an agreement, sign documents, and authenticate records on behalf of the institution deemed to be University;
- (i) to make arrangements to safeguard and maintain the buildings, gardens, office, canteen, cars and other vehicles, laboratories, libraries, reading rooms, equipment and other properties of the institution deemed to be University; and

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Registrar
YENEPOYA
(Deemed to be University)

(j) to conduct the official correspondence on behalf of the authorities of the institution deemed to be University.

31. Finance Officer

(1) The Finance Officer shall be a whole time salaried officer of the Institution Deemed to be University and shall be appointed by the Executive council on the recommendations of the selection committee.

(2) The Finance Officer shall be appointed for a term of five years and shall be eligible for re-appointment by following the procedure prescribed for the appointment of the Finance Officer:

Provided that, the Finance Officer shall retire on attaining the age of sixty-two years.

(3) The emoluments and other terms and conditions of service of the Finance Officer shall be as may be prescribed by the Executive council from time to time.

(4) When the office of the Finance Officer is vacant or when the Finance Officer is, by reason of illness, absence or any other cause, unable to perform the duties of his or her office, the duties of the office shall be performed by such person as the Vice-Chancellor may appoint for the purpose.

(5) The Finance Officer shall be *ex-officio* Secretary of the Finance Committee but shall not be deemed to be a member of such Committee.

(6) The Finance Officer shall—

- (a) exercise general supervision over the funds of the institution deemed to be University and shall advise it as regards its financial policy; and
- (b) perform such other financial functions as may be assigned to him by the Executive Council or as may be prescribed by the rules of the institution deemed to be University.

(7) Subject to the control of the Executive Council, the Finance Officer shall—

- (a) ensure that the limits fixed by the Executive Council for recurring and non-recurring expenditures for a year are not exceeded and that all amounts of money are expended on the purpose for which they are granted or allotted;
- (b) be responsible for the preparation of annual accounts and the budget of the institution deemed to be university and for their presentation to the Executive Council;



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Registrar
YENEPOYA
(Deemed to be University)

- (c) keep a constant watch on the state of the cash and bank balances and on the state of investments
- (d) watch the progress of the collection of revenue and advice on the methods of collection employed;
- (e) ensure that the registers of buildings, land, furniture, and equipment are maintained and up-to-date and that stock-checking is conducted, of equipment and other consumable materials in all offices, departments, schools, faculties, centers and specialised laboratories;
- (f) bring to the notice of the Vice-Chancellor un-authorized expenditure and other financial irregularities and suggest disciplinary action against persons at fault;
- (g) call for from any office, department, school, faculty, centre, laboratory, etc. maintained by the institution deemed to be University any information or returns that he or she may consider necessary for the performance of his or her duties; and
- (h) shall work under the direction of the Vice-Chancellor and shall be responsible to the Executive council through the Vice-Chancellor.

32. Controller of Examinations

(1) The Controller of Examinations shall be appointed by the Executive council on the recommendations of a selection committee constituted for the purpose and he or she shall be a whole-time salaried officer of the institution deemed to be University.

(2) The Controller of Examinations shall be appointed for a term of five years and shall be eligible for reappointment by following the procedure prescribed for the appointment of Controller of Examination:

Provided that the Controller of Examinations shall retire on attaining the age of sixty-two years.

(3) The emoluments and other terms and conditions of service of the Controller of Examination shall be as may be prescribed by the Executive council from time to time.

(4) When the office of the Controller of Examinations is vacant or when the Controller of Examinations is, by reason of illness, absence or any other cause, unable to perform the

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duties of his or her office, the duties of the office shall be performed by such person as the Vice-Chancellor may appoint for the purpose.

(5) The Controller of Examination shall arrange for and superintend the examinations of the institution deemed to be University in the manner as may be prescribed by the rules of the institution deemed to be University.

(6) The Controller of Examinations shall ensure that all the specific directions of the Executive council, Academic Council and Vice-Chancellor in respect of examination and evaluation are complied with.

(7) The Controller of Examinations shall be a permanent invitee to the Academic Council.

33. Dean

(1) The Departments dealing with allied subjects could be grouped into faculties, and every faculty may be headed by a Dean.

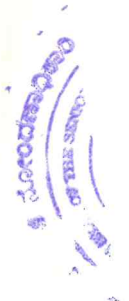
(2) Every Dean of the school or faculty shall be appointed by the Vice-Chancellor from amongst the Professors in the school or faculty, by rotation, for a period of two years:

Provided that if there is only one Professor or no Professor in a school or faculty, the Dean shall be appointed, for the time being, from amongst the Professor, if any, and the Associate Professors in the school or faculty.

(3) When the office of the Dean is vacant or when the Dean is, by reason of illness, absence or any other cause, unable to perform duties of his or her office, the duties of the office shall be performed by the senior-most Professor or Associate Professor, as the case may be, in the School.

(4) The Dean shall be the Head of the school or faculty or centre and shall be responsible for the conduct and maintenance of the standards of teaching and research in the school or faculty or centre and shall have such other functions as may be prescribed by the rules of the institution deemed to be University.

(5) The Dean shall have the right to present and speak at any meeting of the Board of Studies or Committees of the school or faculty or centre, as the case may be, but shall not have the right to vote there unless he is a member thereof.



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Registrar
YENEPOYA
(Deemed to be University)

34. Head of the Department

(1) There shall be a Head of the Department for each of the Departments in the Institution Deemed to be University who shall be appointed by the Vice-Chancellor from amongst the Professors of the Department.

Provided that if there is no Professor in the Department or there is only one Professor in the Department whose term as Head of the Department is ending, the Vice-Chancellor may appoint an Associate Professor as Head of the Department.

(2) The term of the Head of the Department shall normally be 2 years and he/ she shall be eligible for reappointment for one more term, but not for two consecutive terms.

(3) The powers and functions of the Head of the Department shall be prescribed by Rules of the Institution Deemed to be University.

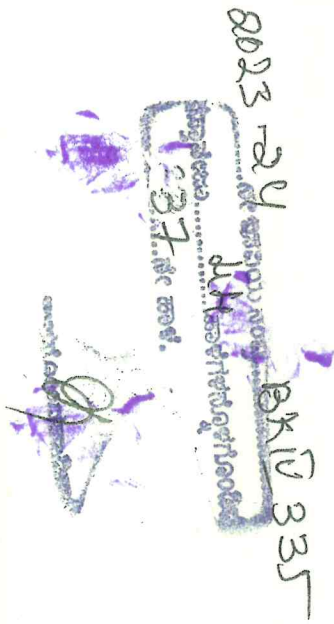
35. Admissions : Admission of students to the institution deemed to be University, public or self-financing, shall be strictly based on merit in the entrance exam conducted by a Government Testing Agency or the institution deemed to be University and as prescribed by the appropriate statutory authority, wherever applicable and in case of no entrance examination, the admission of students to the institution deemed to be University may be made in the manner specified in the prospectus.

36. Fee Structure : (1) Donation or capitation fee in whatever nomenclature or form, either directly or indirectly, is strictly prohibited.

(2) The institutions deemed to be Universities shall follow the rules and regulations regarding fee structure, number of seats, etc., issued by the relevant statutory bodies and in case an institution deemed to be University offers different courses which come under the regulatory ambit of different statutory bodies, namely the University Grants Commission, the All India Council of Technical Education, National Medical Council etc., the rules and regulations regarding fee structure, number of seats, etc., issued by such statutory body concerned shall be applicable.

(3) The fee shall be fixed transparently, keeping in view non-profiteering or non-commercial aspects.

(4) The fee shall be collected as declared in the prospectus of the institution deemed to be University and with a proper receipt for such payment and the prospectus shall also state that donation or capitation fee in whatever nomenclature or form, either directly or indirectly, shall



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not be collected from the student or parent and in case of any grievance, the student or parent may submit their complaint to the institution deemed to be University.

(5) The institution deemed to be University may provide fee concession or scholarships or may allocate some seats to meritorious students belonging to socially and economically deprived groups of the society.

(6) The institution deemed to be University shall abide by all the University Grant Commission notifications, guidelines regarding the refund of fees and return of original certificates, as may be issued from time to time.

(7) Every institution deemed to be University shall maintain the records of the entire process of selection of candidates, exhibit such records on its website, and preserve such records for a minimum period of five years.

(8) The institution deemed to be University shall make available the prospectus on its website at least sixty days before the commencement of admissions, including fee structure, refund policy, number of seats in a programme, eligibility qualifications, admission process, etc.

(9) The institution deemed to be University shall not retain any original certificates of the students, faculty members, and staff.

37. Institution Deemed to be University open to all

(1) The institution deemed to be University shall be open to the persons of all genders and of whatever caste, creed, race or class, and it shall not be lawful for the institution deemed to be University to adopt or impose on any person, any test whatsoever of religious belief or profession in order to entitle him or her to be appointed as a faculty member of the institution deemed to be University or to hold any other office therein or to be admitted as a student in the institution deemed to be University or to graduate there at or to enjoy or exercise any privilege thereof:

Provided that nothing in this section shall be deemed to prevent the institution deemed to be University from making special provision for the employment or admission of women, persons with disabilities, or of persons belonging to the weaker section of the society and, in particular, of the Scheduled Castes, the Scheduled Tribes and the other socially and educationally backward classes of citizens.

(2) All policies and procedures, in matters of admission, fee and employment as

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applicable to the university level public and privately funded institutions respectively, shall apply

38. Institution Deemed to be University to be Unitary

Yenepoya Deemed to be University shall be unitary in nature, and shall not affiliate any other institution.

39. Reservation policy

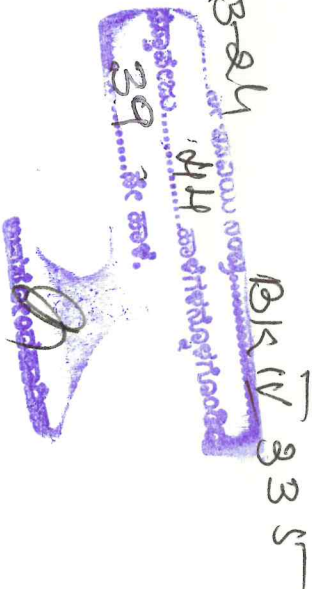
Yenepoya (Deemed to be University) shall implement the policy on reservations in admissions and recruitment, in accordance with provisions of the Constitution of India and any Act of Parliament for the time being in force and disclose all such information on its website.

40. Online or Distance education

Yenepoya (Deemed to be University) may offer courses in the Open, Distance Learning / OnLine mode in accordance with the UGC (Open and Distance Learning) Regulations, 2017, and UGC (Online Courses or Programs) Regulations 2018 as amended from time to time and subject to approval by the Commission.

41. Power to conduct enquiry and consequences of violation of regulations

- (1) Where the Government or the Commission, as the case may be, receives information by way of a complaint or otherwise, that an Institution Deemed to be University has violated any of the provisions of these Regulations, it shall cause to conduct an enquiry into the facts of such information after issuing a notice to the said effect to the Institution Deemed to be University.
- (2) The Commission may also cause an inspection, to be made by persons of eminence and integrity; being experts in matters of academics, administration and finance; regarding academic outcomes or any matter connected with the administration or finances of the Institution Deemed to be University for the purpose of the enquiry.
- (3) Based on the enquiry or through regular inspections mentioned in these Regulations, if the Government or the Commission, as the case may be, is satisfied that these Regulations have been violated, the Institution Deemed to be University shall be subjected to such action as provided in these Regulations, after a reasonable opportunity has been given to the institution to present its case.



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42. Funds, Accounts, Audits and Annual report

(1) The accounts of the University shall be maintained in the name of University and not in the name of particular Trust or Society whether financing or sponsoring the University or not. The accounts of the University shall be kept in such forms as may be laid down by the Executive council and shall conform to the rules, if any prescribed by the University Grants Commissions /the Government of India. The accounts of the University will be open to examination by the comptroller and Auditor General of the Government of India.

(2) Funds shall not be diverted at any time from the accounts of the institution deemed to be University to any other accounts, including to the accounts of the sponsoring body or to any other body.

(3) All funds belonging to the University or under the control of the Executive council shall be shown separately in the accounts of the University.

(4) Annual Reports and the Audit Reports shall be submitted to the Government of India within nine months of the closure of the accounting year for the purpose of being laid down on the table of the Parliament.

(5) The institution deemed to be University shall get its books of accounts audited annually, separately published and uploaded on the website of the institution; and if such institution is funded by Central or State Government or through its Agencies fully or partially, then the accounts of such institution deemed to be University shall be open for examination by the Comptroller and Auditor General of India and the accounts of institution deemed to be University shall also, where required, be open for inspection by the Commission.

43. Miscellaneous

(1) The institution deemed to be University shall, as soon as may be, after the completion of the academic programme, and in no case later than one hundred and eighty days from the date of such completion, ensure that the degree, diploma, certificate, or any other qualification in respect of the programme of studies, is awarded and made available to students.

(2) The institutions deemed to be Universities shall compulsorily create Academic Bank of Credits (ABC), identities of their students and upload their credit scores in digital lockers and ensure that the credit scores are reflected in ABC portal and adopt Samarth e-Gov



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(3) No institution deemed to be University shall at any time enter into any franchise agreement or arrangement, either overtly or covertly, with any individual or organisation for establishing, maintaining, or operating the off-campus or off-shore campus or constituent units or course or programme of study or department or school or faculty of the institution deemed to be University except in the following cases, namely:—

(a) the institution deemed to be University, which is eligible under sub-regulations (1) and (2) of regulation 8 may enter into an agreement or arrangement, if—

- (i) the establishment, maintenance, or operation of such institution is permitted under any Act of Parliament or the rules or regulations made there under; and
- (ii) it has made an application under sub-regulation (3) of regulation 8, after following the procedure specified in these regulations

(b) the campus to be established under such agreement or arrangement shall be—

- (i) treated as an off-campus centre of the institution deemed to be University as long as such agreement or arrangement remains valid under such law; and
- (ii) subject to similar standards of audit and disclosure as a not-for-profit entity as that of the institution deemed to be University;

(c) the institution deemed to be University, which is eligible under regulation 8 may enter into an agreement or arrangement for practical training of students of a skill-oriented vocational courses, if such course is approved by the University Grants Commission or any other body established under any Act of Parliament.

(d) twinning Programmes, Joint Degree Programmes, and Dual Degree Programmes shall be offered in accordance with the provisions stipulated in the University Grants Commission (Academic Collaboration between Indian and Foreign Higher Educational Institutions to offer Twinning, Joint Degree, and Dual Degree Programmes) Regulations, 2022.

(e) the income and property of the institution deemed to be University shall be utilised solely for promoting the objectives of the institution deemed to be University.

(f) the Commission shall have the power to issue directions to an institution deemed to be University for implementation of any public policy of the Government or in respect of any law in force, including appropriate directions in case of any violation of any law or policy by the institution deemed to be University.

(g) no portion of the income and property of the institution deemed to be University shall be paid or transferred directly or indirectly, by way of dividend, bonus, or otherwise how so ever by

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way of profit to the persons who were at any time or are members of the institution deemed to be University or to any of them or any persons claiming through them:

Provided that nothing herein contained shall prevent the payment in good faith of remuneration to any member thereof or another person as consideration for any service rendered to the institution deemed to be University or for traveling or other allowances and such other charges.

(h) in consultation with the Commission, the Government shall have the power to impose such other conditions, not inconsistent with these regulations, in the notification, and the same shall be binding on the institution deemed to be University.

(i) where an institution deemed to be University wishes to surrender its status of "institution deemed to be University", it may do so with the prior permission of the Government; and similarly, withdrawal of any constituent units or off-campus or off-shore campus of the institution deemed to be University from the purview of an institution deemed to be University, shall require the sponsoring body to take the prior permission of the Government:

Provided that such surrender or withdrawal, as the case may be, shall take effect only after the last batch of students on the rolls of the institution deemed to be University or its constituent units, as the case may be, have been accorded the opportunity to qualify for the completion of the programme of study and award of degree.

(j) the institution deemed to be University shall furnish to the Central Government or the Commission such returns or other information with respect to its property or activities as the Central Government or the Commission may, from time to time, require, within such period as may be specified by the Central Government or Commission.

(k) convocations of the institution deemed to be University for conferring the degrees or for other purposes shall be held in such manner as may be prescribed by the rules of the institution deemed to be University.

(l) the Executive Council may, by a resolution passed by a majority of not less than two-thirds of the members present and voting, withdraw a degree of academic distinction conferred on, or any certificate or diploma granted to, any person by the institution deemed to be University for good and sufficient cause:

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Provided that no such resolution shall be passed until a notice in writing has been given to that person calling upon him or her to show cause within such time as may be specified in the notice as to why such a resolution shall not be passed and until his or her objections, if any, and any evidence he or she may produce in support of them, have been considered by the Executive Council.

(m) in the event of conflict of opinion with regard to the interpretation of these regulations, the opinion of the Commission shall be final.

(n) with regard to institutions deemed to be Universities, the provisions contained in these regulations shall prevail in case of any inconsistency or conflicting provisions in any other regulations issued by the Commission under the provisions of the Act.

44. Legal Proceedings

- (1) The Registrar may sue or be sued for the purpose of any legal proceedings against institution deemed to be University, who shall have the power to enter into agreements, sign documents and authenticate records on behalf of the institution deemed to be University, and have the powers to exercise such powers and perform such duties under the provisions of these regulations.
- (2) No suit or legal proceedings shall lie against the Central Government or UGC or the University or an Officer of the University or a member of the authority of the University in respect of anything done or purported or intended to be done in pursuance of any article of Memorandum of Association or the Rules of Bye-laws made there under.

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45. **Consequences of the violations of regulations:** Where an institution deemed to be University is found to have violated the provisions of these regulations or any other applicable regulations of the Commission, it may be subjected to one or more of the following actions, namely:—

(a) for violation of these regulations established by the enquiry committee set up by the Commission or Government, the institution deemed to be University shall be warned in writing with public notice or barred from any expansion in terms of diversification to new courses or programmes of study or departments and establishment of off-campus or off-shore campuses, for a period of three years or as recommended by the enquiry committee, whichever is higher;

(b) continuous violation of regulations established by the enquiry committee set up by the Commission or the Government may result in closure of the courses or programmes of study or Departments and off campuses or constituent institutions or off-shore campuses or may result in withdrawal of the status of institution deemed to be University;

(c) in order to protect the interests of students in case of surrender or withdrawal of the status, as the case may be, shall take effect only after the last batch of students on the rolls of the institution deemed to be University or its constituent units, has been accorded opportunity to qualify for the completion of the programme of study and award of degree.



Registrar
YENEPOYA
(Deemed to be University)

DR.K.S GANGADHARA SOMAYAJI

[Registrar of Yenepoya (Deemed to be University)]

WITNESSES:

1. *Umme Amarah*

DR. UMME AMARAH

D/o Mr. Saifeuddin

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2. *Afreen Shabbir*

Dr. AFREEN SHABBIR

D/o U. Shabbir Ahamed.

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Melina Mogaru

Attavar, Mangalore - 575002